

Patent Application Data Sheet

Application Information

Application Type: Regular

Subject Matter: Utility

Suggested

Classification:

Suggested Group Art

Unit:

CD-ROM or CD-R?:

Number of CD disks:

Number of copies of CDs:

Sequence submission?:

Computer Readable

Form (CRF?): Yes

Number of copies of CRF:

Title: RISK MANAGEMENT SYSTEM AND METHOD
PROVIDING RULE-BASED EVOLUTION OF A
PORTFOLIO OF INSTRUMENTS

Attorney Docket Number: 11483-174

Request for Early

Publication?: No

Request for Non-Publication?: No

Suggested Drawing Figure: 4

Total Drawing Sheets: 4

Small Entity?: No

Latin Name:

Variety denomination

name:

Petition included?: No

Petition Type:

Licensed US Govt.

Agency:

Contract or Grant

Numbers:

Secrecy Order in

Parent Appl.??: No

Applicant Information

Inventor Authority Type: Inventor

Primary Citizenship

Country: Canada

Status: Full Capacity

Given Name: Jim

Middle Name:

Family Name: Degraaf

Name Suffix: Mr.

City of Residence: Toronto

State or Prov. Of

Residence: Ontario

Country of Residence: Canada

Street of mailing address: 22 Wright Avenue

City of mailing address: Toronto

State or Province of

mailing address: Ontario
Country of mailing address: Canada
Postal or Zip Code of
mailing address: M6R 1K8

Inventor Authority Type: Inventor

Primary Citizenship
Country: Canada
Status: Full Capacity

Given Name: Ben
Middle Name:
Family Name: De Prisco
Name Suffix: Mr.
City of Residence: Woodbridge
State or Prov. Of
Residence: Ontario
Country of Residence: Canada
Street of mailing address: 20 Queen Post Drive
City of mailing address: Woodbridge
State or Province of
mailing address: Ontario
Country of mailing address: Canada
Postal or Zip Code of
mailing address: L4L 3G4

Inventor Authority Type: Inventor

Primary Citizenship

Country: Canada

Status: Full Capacity

Given Name: Antonin

Middle Name:

Family Name: Dolezal

Name Suffix: Mr.

City of Residence: Toronto

State or Prov. Of

Residence: Ontario

Country of Residence: Canada

Street of mailing address: 410 Davenport Road

City of mailing address: Toronto

State or Province of

mailing address: Ontario

Country of mailing address: Canada

Postal or Zip Code of

mailing address: M4V 1B5

Correspondence Information

Correspondence Customer

Number: 001059

Phone Number: 416-364-7311

(Max. 3 telephone numbers)

Fax Number: (416) 361-1398

E-Mail Address: klo@bereskinparr.com

(Max. 3 e-mail addresses)

Representative Information

Representative

Customer Number: 001059

Domestic Priority Information

Application:	Continuity Type:	Parent Application:	Parent Filing Date:
	Continuation	09/324,920	06/03/1999

Foreign Priority Applications

Country:	Application Number:	Filing Date:	Priority Claimed

Assignee Information

Assignee name:: Algorithmics International Corp.
Street of mailing address:: Chancery House, High Street
City of mailing address:: Bridgetown
State or Province of mailing address:: Barbados
Country of mailing address:: West Indies
Postal or Zip Code of mailing address::